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UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

04/30/01
11031 U.S. PTO

**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231**

Docket No.: 341.6910USU

09/845549
U.S. PTO
04/30/01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Anthony Mark Pasqualoni, Deepak Mahulikar, Larry A. LaFollette
and Richard J. Jenkins

For: A CHEMICAL MECHANICAL POLISHING SLURRY
COMPOSITION FOR POLISHING CONDUCTIVE AND NON-
CONDUCTIVE LAYERS ON SEMICONDUCTOR WAFERS

Enclosed are:

XXX Specification (19 pps.) consisting of: Description (13 pps); Claims (5 pps);
Abstract (1 pp);

_____ sheets of drawings;

XXX Declaration and Power of Attorney;

XXX ✓ An assignment of the invention: **Assignee Name: ARCH SPECIALTY
CHEMICALS, INC.** **Assignee Residence: NORWALK, CONNECTICUT**,
including a \$160.00 recordation fee and Assignment Recordation Form
Cover Sheets;

_____ Information Disclosure Statement (with a copy of a patent);

_____ Form - PTO-1449;

_____ The undersigned attorney has verified that the application is entitled to a
Small Entity Status; and

_____ Priority of U.S. Provisional Application Serial No., filed on _____ is
claimed under 35 U.S.C. §119(e);

_____ A Request and Certification under 35 U.S.C. 122(b)(2)(B)(i);

The Filing Fee is calculated below.

| CLAIMS AS FILED | | | | |
|---------------------------------|---------------------|---------------------|-------------|------------------------------|
| (1) For | (2) Number Filed | (3) Number Extra | (4) Rate | (5) Basic Fee \$710.00 |
| Total Claims | 31 - 20 = | 11 | x \$18.00 | \$198.00 |
| Independent Claims | 4 - 3 = | 1 | x \$80.00 | \$80.00 |
| Multiple Dependent Claim Fee | | x \$270.00 = \$0.00 | | |
| TOTAL FILING FEE | | | | \$988.00 |

| | |
|---------------------------------|--------|
| 1/2 FILING FEE FOR SMALL ENTITY | \$0.00 |
|---------------------------------|--------|

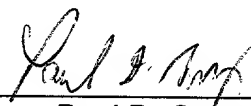
_____ No fee enclosed – filing by missing parts.

XXX A check in the amount of \$ 1,148.00 for the filing fee (\$988) and assignment recordal fees (\$160.00) is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Paul D. Greeley, Esq.**
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
U.S.A.
Telephone: (203) 327-4500
Telefax: (203) 327-6401

April 30, 2001
Date of Signature

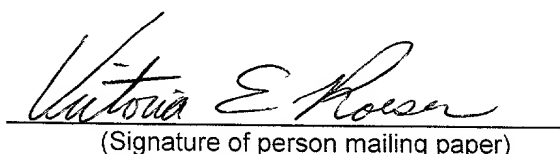


Paul D. Greeley, Esq.
Attorney for Applicant(s)
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Registration No. 31,019
(203) 327-4500

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL754408052US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on April 30, 2001.

Victoria E. Roeser
(Typed name of person mailing paper)



(Signature of person mailing paper)